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<b>Report To:</b>	<b>Audit Committee</b>	<b>Date:</b>	<b>21 October 2014</b>
<b>Report By:</b>	<b>Acting Corporate Director Environment, Regeneration &amp; Resources</b>	<b>Report No:</b>	<b>AC/86/14/AP/APr</b>
<b>Contact Officer:</b>	<b>Andi Priestman</b>	<b>Contact No:</b>	<b>01475 712251</b>
<b>Subject:</b>	<b>Risk Management Progress Report</b>		

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## **1.0 PURPOSE**

- 1.1 The purpose of this report is to update Members on progress made with regard to risk management since the Audit Committee on 22 April 2014. The report aims to support members in discharging their responsibility for reviewing the effectiveness of risk management across the organisation.
- 1.2 Progress on risk management requires to be monitored because of its importance to the Council's Corporate Governance Framework.

## **2.0 SUMMARY**

- 2.1 Since the last Audit Committee report, the following key tasks have been undertaken:
  - Risk Registers are now in place for all Services and Directorate Heatmaps have been developed. The key corporate risks will be updated and reviewed by CMT in November and reported to Audit Committee in January.
  - Business continuity plans and business impact analysis documentation are now in place for all directorates and services. The documentation will be reviewed and updated as appropriate prior to 31 December 2014.
- 2.2 Work is ongoing to:
  - Implement the action plan to align the Council's procedures with best practice guidance on risk management processes and practices.

## **3.0 RECOMMENDATIONS**

- 3.1 It is recommended that Members agree to note the progress on risk management in the period since the Audit Committee meeting in April 2014.

**Alan Puckrin**  
**Acting Corporate Director Environment, Regeneration and Resources**

## 4.0 BACKGROUND

- 4.1 There have been increasing pressures for public sector bodies to adopt formalised approaches to risk management.
- 4.2 In its Code of Practice, Audit Scotland makes it clear that the audited bodies are responsible for developing systems of internal control, including risk management, and for conducting annual reviews of the effectiveness of the system of internal control.
- 4.3 The Council has developed a Risk Management Strategy which will deliver a consistent, effective framework and approach for managing risks across the organisation at all levels and support the achievement of the corporate outcomes.

## 5.0 CURRENT POSITION

- 5.1 Since the last Audit Committee report, the following key tasks have been undertaken:-
- Risk Registers are now in place for all Services and Directorate Heatmaps have been developed. The key corporate risks will be updated and reviewed by CMT in November and reported to Audit Committee in January; and
  - Business continuity plans and business impact analysis documentation are now in place for all directorates and services. The documentation will be reviewed and updated as appropriate prior to 31 December 2014.

## 6.0 IMPLICATIONS

### Finance

- 6.1 There are no direct financial implications arising from this report.

Financial Implications:

One off Costs

Cost Centre	Budget Heading	Budget Years	Proposed Spend this Report	Virement From	Other Comments
N/A					

Annually Recurring Costs/ (Savings)

Cost Centre	Budget Heading	With Effect from	Annual Net Impact	Virement From (If Applicable)	Other Comments
N/A					

### Legal

- 6.2 There are no direct legal implications arising from this report.

### Human Resources

- 6.3 There are no direct HR implications arising from this report.

## **Equalities**

6.4 There are no direct equalities implications arising from this report.

## **Repopulation**

6.5 There are no direct repopulation implications arising from this report.

## **7.0 CONSULTATIONS**

7.1 Relevant officers have been involved in the review and update of risk registers and business continuity plans.

## **8.0 LIST OF BACKGROUND PAPERS**

8.1 Corporate, Directorate and Service risk registers. Available from Andi Priestman. Chief Internal Auditor.