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| Report To: | Audit Committee | Date: | 23 April 2013 |
| Report By: | Corporate Director Environment, Regeneration & Resources | Report No: | AC/59/13/AF/APr |
| Contact Officer: | Andi Priestman | Contact No: | 01475 712251 |
| Subject: | Risk Management Progress Report | | |

1.0 PURPOSE

- 1.1 The purpose of this report is to update Members on progress made with regard to risk management since the Audit Committee on 26 February 2013. The report aims to support Members in discharging their responsibility for reviewing the effectiveness of risk management across the organisation.
- 1.2 Progress on risk management requires to be monitored because of its importance to the Council's Corporate Governance Framework.

2.0 SUMMARY

- 2.1 Since the last Audit Committee report, the following key tasks have been undertaken:-
 - The formal review and update of service risk registers is broadly complete for Education and Communities and Environment, Regeneration and Resources. Initial meetings have taken place with CHCP management and it is anticipated that risk workshops will be facilitated for CHCP during May and June. A summary report will be provided to the August Audit Committee regarding the status of key corporate and operational risks when all risk registers have been reviewed and updated; and
 - Risk management input has been provided as appropriate to the development of the Corporate Directorate Improvement Plans.
- 2.2 Work is ongoing to:-
 - Embed a regular review and update process for Corporate and Service Risk Registers and, in particular, how planned mitigating actions are being implemented and when they are due to be implemented; and
 - Develop an action plan to fully embed the process in the wider strategic planning and performance management framework.

3.0 RECOMMENDATIONS

- 3.1 It is recommended that Members agree to note the progress on risk management in the period since the Audit Committee meeting in February 2013.

Aubrey Fawcett
Corporate Director Environment, Regeneration & Resources

4.0 BACKGROUND

- 4.1 There have been increasing pressures for public sector bodies to adopt formalised approaches to risk management.
- 4.2 In its Code of Practice, Audit Scotland makes it clear that the audited bodies are responsible for developing systems of internal control, including risk management, and for conducting annual reviews of the effectiveness of the system of internal control.
- 4.3 The Council has developed a Risk Management Strategy which will deliver a consistent, effective framework and approach for managing risks across the organisation at all levels and support the achievement of the corporate outcomes.

5.0 IMPLICATIONS

- 5.1 Legal: None
Finance: None
Personnel: None
Equalities: None

6.0 CONSULTATIONS

- 6.1 Discussions have taken place with relevant officers in the review and update of risk registers.

7.0 LIST OF BACKGROUND PAPERS

- 7.1 Annual Risk Management Report 2011/2012. By Andi Priestman. Chief Internal Auditor.
- 7.2 Corporate, Directorate and Service risk registers. Available from Andi Priestman. Chief Internal Auditor.
- 7.3 Risk Management Strategy. Approved by Members March 2010.