
Report To:	Audit Committee	Date:	8 January 2013
Report By:	Corporate Director Environment, Regeneration & Resources	Report No:	AC/52/13/AF/APr
Contact Officer:	Andi Priestman	Contact No:	01475 712251
Subject:	Risk Management Progress Report		

1.0 PURPOSE

- 1.1 The purpose of this report is to update Members on progress made with regard to risk management since the Audit Committee on 16 October 2012. The report aims to support Members in discharging their responsibility for reviewing the effectiveness of risk management across the organisation.
- 1.2 Progress on risk management requires to be monitored because of its importance to the Council's Corporate Governance Framework.

2.0 SUMMARY

- 2.1 Since the last Audit Committee report, the following key tasks have been undertaken:
- The formal review and update of service risk registers is ongoing and a summary report will be provided to the Audit Committee regarding the status of key corporate and operational risks when all risk registers have been reviewed and updated;
 - Business Impact Assessment documents have been reviewed and updated by relevant services and copies provided to JCCS; and
 - We have successfully recruited a Corporate Risk Advisor who will join the team on 7 January 2013. This is a key role in developing and supporting improved risk management practices throughout the Council.
- 2.2 Work is ongoing to:
- Embed a regular review and update process for Corporate and Service Risk Registers and, in particular, how planned mitigating actions are being implemented and when they are due to be implemented; and
 - Fully embed the process in the wider strategic planning and performance management framework.

3.0 RECOMMENDATIONS

- 3.1 It is recommended that Members agree to note the progress on risk management in the period since the Audit Committee meeting in October 2012.

Aubrey Fawcett
Corporate Director Environment, Regeneration & Resources

4.0 BACKGROUND

- 4.1 There have been increasing pressures for public sector bodies to adopt formalised approaches to risk management.
- 4.2 In its Code of Practice, Audit Scotland makes it clear that the audited bodies are responsible for developing systems of internal control, including risk management, and for conducting annual reviews of the effectiveness of the system of internal control.
- 4.3 The Council has developed a Risk Management Strategy which will deliver a consistent, effective framework and approach for managing risks across the organisation at all levels and support the achievement of the corporate outcomes.

5.0 IMPLICATIONS

- 5.1 Legal: None
Finance: None
Personnel: None
Equalities: None

6.0 CONSULTATIONS

- 6.1 Discussions have taken place with relevant officers in the review and update of risk registers.

7.0 LIST OF BACKGROUND PAPERS

- 7.1 Annual Risk Management Report 2011/2012. By Andi Priestman. Chief Internal Auditor.
- 7.2 Corporate, Directorate and Service risk registers. Available from Andi Priestman. Chief Internal Auditor.
- 7.3 Risk Management Strategy. Approved by Members March 2010.