
Report To:	Audit Committee	Date:	16 October 2012
Report By:	Corporate Director Environment, Regeneration and Resources	Report No:	AC/49/12/AF/APr
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Subject:	Risk Management Progress Report		

1.0 PURPOSE

- 1.1 The purpose of this report is to update members on progress made with regard to risk management since the Audit Committee on 29 May 2012. The report aims to support members in discharging their responsibility for reviewing the effectiveness of risk management across the organisation.
- 1.2 Progress on risk management requires to be monitored because of its importance to the Council's Corporate Governance Framework.

2.0 SUMMARY

- 2.1 Since the last Audit Committee report, the following key tasks have been undertaken:
- A workshop was held with relevant staff and a risk register has now been developed for Customer Services. In addition, existing service risk registers will be subject to a formal review and update and a summary report will be provided to the Audit Committee regarding the status of key corporate and operational risks when all registers have been reviewed.
 - An update has been provided from the JCCS in relation to business continuity plans and relevant services have been advised of timescales to update the relevant documents.
 - An exercise is underway to recruit a Corporate Risk Advisor for the Council. This is a key role in developing and supporting improved risk management practices throughout the Council.
- 2.2 Work is ongoing to:
- Embed a regular review and update process for Corporate and Service Risk Registers and, in particular, how planned mitigating actions are being implemented and when they are due to be implemented.
 - Fully embed the process in the wider strategic planning and performance management framework.

3.0 RECOMMENDATIONS

- 3.1 It is recommended that Members agree to note the progress on risk management in the period since the Audit Committee meeting in August 2012.

Aubrey Fawcett
**Corporate Director, Environment,
Regeneration & Resources**

4.0 BACKGROUND

- 4.1 There have been increasing pressures for public sector bodies to adopt formalised approaches to risk management.
- 4.2 In its Code of Practice, Audit Scotland makes it clear that the audited bodies are responsible for developing systems of internal control, including risk management, and for conducting annual reviews of the effectiveness of the system of internal control.
- 4.3 The Council has developed a Risk Management Strategy which will deliver a consistent, effective framework and approach for managing risks across the organisation at all levels and support the achievement of the corporate outcomes.

5.0 IMPLICATIONS

- 5.1 Legal: None
- Finance: None
- Personnel: None
- Equalities: None

6.0 CONSULTATIONS

- 6.1 Discussions have taken place with relevant officers in the review and update of risk registers.

7.0 LIST OF BACKGROUND PAPERS

- 7.1 Annual Risk Management Report 2011/2012. By Andi Priestman. Chief Internal Auditor.
- 7.2 Corporate, Directorate and Service risk registers. Available from Andi Priestman. Chief Internal Auditor.
- 7.3 Risk Management Strategy. Approved by Members March 2010.