
Report to:	Audit Committee	Date:	10 January 2012
Report By:	Corporate Director Regeneration & Environment	Report No:	AC/33/12/AF/APr
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Subject:	Risk Management Progress Report		

1.0 PURPOSE

- 1.1 The purpose of this report is to update members on progress made with regard to risk management since the Audit Committee on 24 October 2011. The report aims to support members in discharging their responsibility for reviewing the effectiveness of risk management across the organisation.
- 1.2 Progress on risk management requires to be monitored because of its importance to the Council's Corporate Governance Framework.

2.0 SUMMARY

- 2.1 Since the last Audit Committee report, the following key tasks have been undertaken:
 - Ongoing review and update of service risk registers.
 - The Joint Civil Contingencies Service is in the process of reviewing all draft Service Business Continuity Plans with a view to carrying out rehearsal testing and having final plans in place by 31 December 2011.
 - A workshop was facilitated by the Chief Internal Auditor to develop the risk register for the Organisational Improvement and Resource's Directorate Plan. Similar workshops will be held for the other Directorates.
- 2.2 Work is ongoing to:
 - Embed a regular review and update process for Corporate and Service Risk Registers and, in particular, how planned mitigating actions are being implemented and when they are due to be implemented.
 - Fully embed the process in the wider strategic planning and performance management framework.

3.0 RECOMMENDATIONS

- 3.1 It is recommended that Members agree to note the progress on risk management in the period since the Audit Committee meeting in October 2011.

Aubrey Fawcett
Corporate Director Regeneration & Environment

4.0 BACKGROUND

- 4.1 There have been increasing pressures for public sector bodies to adopt formalised approaches to risk management.
- 4.2 In its Code of Practice, Audit Scotland makes it clear that the audited bodies are responsible for developing systems of internal control, including risk management, and for conducting annual reviews of the effectiveness of the system of internal control.
- 4.3 The Council has developed a Risk Management Strategy which will deliver a consistent, effective framework and approach for managing risks across the organisation at all levels and support the achievement of the corporate outcomes.

5.0 IMPLICATIONS

- 5.1 Legal None
- Finance: None
- Personnel: None
- Equalities: None

6.0 CONSULTATIONS

- 6.1 Discussions have taken place with relevant officers in the review and update of risk registers.

7.0 LIST OF BACKGROUND PAPERS

- 7.1 Annual Risk Management Report 2010/2011. By Andi Priestman. Chief Internal Auditor.
- 7.2 Corporate, Directorate and Service risk registers. Available from Andi Priestman. Chief Internal Auditor.
- 7.3 Risk Management Strategy. Approved by Members March 2010.