
Report To:	Audit Committee	Date:	26.04.11
Report By:	Corporate Director Regeneration and Environment	Report No:	AC/19/11/AF/APr
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Subject:	RISK MANAGEMENT PROGRESS REPORT		

1.0 PURPOSE

- 1.1 The purpose of this report is to update Members on progress made with regard to risk management since the Audit Committee on 1 March 2011. The report aims to support members in discharging their responsibility for reviewing the effectiveness of risk management across the organisation.
- 1.2 Progress on risk management requires to be monitored because of its importance to the Council's Corporate Governance Framework.

2.0 SUMMARY

- 2.1 Since the last Audit Committee report, the following key tasks have been undertaken:
 - A Business Continuity Management policy document has been drafted in conjunction with the Joint Civil Contingencies Service. This has been circulated to members of the Crisis and Resilience Management team for comment.
 - The Business Continuity Plan for Regeneration and Environment has now been developed and will be provided to the members of the Directorate Management Team for final comment prior to publication. A work programme for other Directorates is in place and will be rolled out following the publication of the Regeneration and Environment plan.
 - The Corporate Risk Register and relevant Service Risk Registers were reviewed during October 2010 to March 2011 and an overview report on key corporate risks will be presented to CMT in April 2011 and to the next relevant Audit Committee.
 - Directorate Planning guidance for the Council has now been reviewed and updated to include risk management within the directorate planning process. This will require Directorates to consider risks to achievement of the Directorate Plan objectives and to develop relevant mitigations to minimise these risks.
- 2.2 Work is ongoing to:
 - Embed a regular review and update process for Corporate and Service Risk Registers and, in particular, how planned mitigating actions are being implemented and when they are due to be implemented.
 - Review corporate, directorate and service risk registers to determine the overall approach to risk management ensuring strategic and operational risks are correctly aligned and appropriate reporting mechanisms are in place.
 - Fully embed the process in the wider strategic planning and performance management framework.

3.0 RECOMMENDATIONS

- 3.1 It is recommended that Members agree to note the progress on risk management in the period since the Audit Committee meeting in March 2011.

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Corporate Director Regeneration and Environment

4.0 BACKGROUND

- 4.1 There have been increasing pressures for public sector bodies to adopt formalised approaches to risk management.
- 4.2 In its Code of Practice, Audit Scotland makes it clear that the audited bodies are responsible for developing systems of internal control, including risk management, and for conducting annual reviews of the effectiveness of the system of internal control.
- 4.3 The Council has developed a Risk Management Strategy which will deliver a consistent, effective framework and approach for managing risks across the organisation at all levels and support the achievement of the corporate outcomes.

5.0 IMPLICATIONS

- 5.1 Legal: None
Finance: None
Personnel: None
Equalities: None

6.0 CONSULTATIONS

- 6.1 Discussions have taken place with relevant officers in the review and update of risk registers.

7.0 LIST OF BACKGROUND PAPERS

- 7.1 Annual Risk Management Report 2009/2010. By Andi Priestman. Chief Internal Auditor.
- 7.2 Corporate, Directorate and Service risk registers. Available from Andi Priestman. Chief Internal Auditor.
- 7.3 Risk Management Strategy. Approved by Members March 2010.